



A D V I C E

01/10/19 version 2.0

Disclosure statement (financial adviser)

Rowan Lockwood

195M Main Highway, Ellerslie, Auckland 1051

Genesis Advice

09 525 4673

Rowan.lockwood@genesisadvice.co.nz

01/10/19

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorised, financial adviser. I receive compliance assistance from Wealthpoint Limited.

I can give you advice about, Products on the Wealthpoint APL including:

- Insurance Products
- Health Insurance
- General Insurance

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me Rowan Lockwood so that I or Genesis Financial Services Limited can try to fix the problem.

You may contact the internal complaints scheme by emailing info@genesisadvice.co.nz.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact. This service will cost you nothing, and will help us resolve any disagreements. You can contact Insurances and Financial Services Ombudsman (IFSO) at:

Address: IFSO Scheme, PO Box 10-845, Wellington 6143, NEW ZEALAND

Telephone number: 0800 888 202

Email address: info@ifso.nz

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under What should you do if something goes wrong?).

Declaration

I, Rowan Lockwood declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed: